

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: L. Ricciulli
Serial No.: 09/805,490
Customer No.: 33123
Filing Date: March 13, 2001
For: METHOD OF MAINTAINING LISTS
OF NETWORK CHARACTERISTICS

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TC 2600

I hereby certify that this correspondence and the attached papers are being deposited with the United States Postal Service with sufficient postage as first class mail on the date indicated below and in an envelope addressed to:

Commissioner of Patents
U.S. Patent and Trademark Office
P.O. Box 1450
Alexandria, VA 22313-1450.

12/2/03
Date

A. Kopp

TRANSMITTAL LETTER

Commissioner for Patents
U.S. Patent and Trademark Office
P.O. Box 1450
Alexandria, VA 22313-1450

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Technology Center 2600

Sir:

Enclosed are a *Power of Attorney by Assignee* and a *Certificate under 37 CFR §3.73(b)*, in connection with the above-captioned patent application. Please update your records to reflect the change of attorneys for the above-captioned patent application.

If anything further is required, please do not hesitate to contact the undersigned attorney for the assignee.

- x The Commissioner is hereby authorized to charge any fee, including any submitted herewith if the attached check(s) is in the wrong amount or otherwise improper or missing, that may be due in connection with this and the attached papers, or with this application during its entire pendency to or to credit any overpayment to Deposit Account No. 50-1213. A duplicate of this sheet is enclosed.

Respectfully submitted,
HELLER EHRMAN WHITE & McAULIFFE LLP.

By: David A. Hall
David A. Hall
Registration No. 32,233

Attorney Docket 40587-6273
Address all correspondence to:
David A. Hall
HELLER EHRMAN WHITE & McAULIFFE LLP.
4350 La Jolla Village Drive, 7th Floor
San Diego, California 92122-1246
Telephone: (858) 450-8400 Facsimile: (858) 587-5360
E-mail: dhall@HEWM.com



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: L. Ricciulli
Serial No.: 09/805,490
Customer No.: 33123
Filing Date: March 13, 2001
For: METHOD OF MAINTAINING LISTS OF NETWORK
CHARACTERISTICS

POWER OF ATTORNEY BY ASSIGNEE

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Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

DEC 08 2003
Technology Center 2600

Sir:

Revoking any and all powers of attorney and authorizations of agent heretofore made in respect of the above-captioned patent application, the Assignee of the entire interest in this patent application hereby appoints the following as its attorneys, with full power of substitution and revocation, to prosecute the application and to transact all business in the Patent and Trademark Office connected therewith:

DAVID A. HALL, Registration No. 32,233; STEPHANIE SEIDMAN, Registration No. 33,779; and DALE L. RIEGER, Registration No. 43,045. Direct all telephonic inquiries to David Hall at telephone no. (858) 450-8400.

Address all correspondence to:

David Hall
HELLER EHRMAN WHITE & McAULIFFE
4350 La Jolla Village Drive, 7th Floor
San Diego, California 92122-1246

netZentry, Inc.

Date: 11/05/03

Name: Jagan Jagannathan
Title: President



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: L. Ricciulli
Serial No.: 09/805,490
Customer No.: 33123
Filing Date: March 13, 2001
For: METHOD OF MAINTAINING LISTS OF NETWORK
CHARACTERISTICS

CERTIFICATE UNDER 37 C.F.R. § 3.73(b)

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

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Technology Center 2600

Sir:

netZentry, Inc., a corporation organized under the laws of California, with a current business address of 990 Commercial Street, Suite 100, Palo Alto, California 94303, U.S.A., is the Assignee of the entire right, title and interest in the above-captioned patent application by virtue of a chain of title from the inventor of the application identified above to the current assignee, as evidenced by: an Assignment from the inventor L. Ricciulli to Reactive Network Solutions, Inc., recorded at reel 12107, frame 0875; an assignment from Reactive Network Solutions, Inc. to J9 Corporation, a copy of which is attached and which has been submitted for recording; and a name change from J9 Corporation to netZentry, Inc., evidenced by a Change of Name document, a copy of which is attached and which has been submitted for recording.

The undersigned (whose title is supplied below) is empowered to act on behalf of the Assignee.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

netZentry, Inc.

Date: 11/05/03

Name: Jagan Jagannathan

Title: President



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re

Patent Application Nos.

09/789,477

09/805,490

10/242,380

CERTIFICATE OF MAILING

I hereby certify that this paper and the attached papers are being deposited with the United States Postal Service with sufficient postage as first class mail on the date indicated below and addressed to:

Commissioner for Patents
PO Box 1450
Alexandria, VA 22313-1450

10/8/03
Date

Michelle Maloney
Signature

TRANSMITTAL LETTER

Commissioner for Patents
US Patent and Trademark Office
PO Box 1450
Alexandria, VA 22313-1450

RECEIVED

DEC 0 8 2003

Technology Center 2600

Sir:

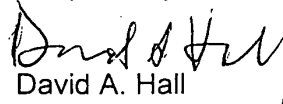
Enclosed are the following documents:

- 1) this Transmittal Letter;
- 2) Recordation Form Cover Sheet;
- 3) Assignment from Reactive Network Solutions to J9 Corporation;
- 4) Check in the amount of \$120.00 for recordation fee; and
- 5) Return Postcard for this transmittal.

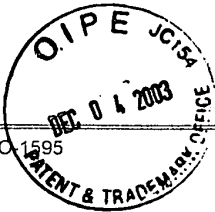
☒ x

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Respectfully submitted,


David A. Hall
Reg. No. 32,233

Heller Ehrman White & McAuliffe LLP
4350 La Jolla Village Drive, 7th Floor
San Diego, California 92122-1246
Telephone: (858) 450-8400
Facsimile: (858) 587-5360



PTO 1595

U.S. Department of Commerce Patent and Trademark Office

RECORDATION FORM COVER SHEET
PATENTS ONLYTo the Honorable Commissioner for Patents and Trademarks
Please record the attached original document(s) or copy(ies) thereof

Attorney Docket No. 40587-6271

1. Name of the conveying parties Reactive Network Solutions, Inc.		2. Name and address of the receiving party(ies): J9 Corporation 808 Beaverton Court Sunnyvale, CA 97087	
Additional name(s) of conveying party(ies) attached? No		Additional Names(s) and Address(es) Attached [] Yes [X] No	
3. Nature of conveyance: <input checked="" type="checkbox"/> Assignment <input type="checkbox"/> Merger <input type="checkbox"/> Security Agreement <input type="checkbox"/> Change of Name Execution Dates: 6/30/2003.			
4. Application Number(s) or Patent Number(s) If this document is being filed together with a new application, the execution date of the application is: A. U.S. Patent Applications B. Patent No(s). N/A (1) Patent application no. 09/789,477 filed 2/20/2001 entitled "METHOD AND APPARATUS FOR LIMITING NETWORK CONNECTION RESOURCES" identified by Express Mail mailing label no. EL473992722US; prior Assignment Recordation Date: 9/6/2001, Reel/Frame: 012139/0081 Additional Numbers Attached? [X] Yes [] No			
5. Name and address of party to whom correspondence should be mailed: David A. Hall, Esq. HELLER EHRMAN WHITE & McAULIFFE LLP 4350 La Jolla Village Drive, 7th Floor San Diego, CA 92122-1246 Telephone direct: (858) 450-8400 Facsimile: (858) 450-8499		6. Total number of Applications and Patents involved <u>3</u> 7. Total Fee (37 CFR §3.41) \$120.00 [X] Check Enclosed [] Previously Paid [] Authorized to charge to Deposit Acct #50-1213 8. [X] Please Charge Deposit Account No. 50-1213 for any deficiency in the fees paid above, or credit any overpayment to that account.	
DO NOT USE THIS SPACE			
9. Statement and signature. <i>To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.</i> David A. Hall <u>David A. Hall</u> <u>Oct 8, 2003</u> Reg. No. 32,233 Signature Date Name of Person Signing Total number of pages including cover sheet, attachments, and document: <u>8</u> Mail documents to be recorded with required cover sheet information to: Commissioner for Patents Mail Stop Assignment Recordation Services U.S. Patent and Trademark Office P.O. Box 1450 Alexandria, VA 22313 Public burden reporting for this sample cover sheet is estimated to average about 30 minutes per document to be recorded, including time for reviewing the document and gathering the data needed and completing and reviewing the sample cover sheet. Send comments regarding this burden estimate to the U.S. Patent and Trademark Office, Office of Information Systems, PK2-1000C, Washington, DC 20231, and to the Office of Management and Budget, Paperwork Reduction Products, (0651-0011), Washington, DC 20503.			

EXHIBIT B-1

Patent Assignment

THIS DOCUMENT CONTAINS
ASSIGNMENT OF PATENTS AND PATENT APPLICATIONS

WHEREAS, Reactive Network Solutions, a Delaware corporation ("Assignor"), is the owner of the following United States patents and patent applications:

ISSUED U.S. PATENTS ("Patents")

U.S. Patent Number	Title	Issue Date/ Expiration Date

PENDING U.S. PATENTS ("Patent Applications")

U.S. Patent Applic. No.	Title	U.S. Filing Date
EL473992722US	Method and Apparatus for Limiting Network Connection Resources	2/20/2001
EL682476387US	Method of Maintaining Lists of Network Characteristics	3/13/2001
EV175669370US	Dynamic DoS Flooding Protection	9/10/2002

WHEREAS, J9 Corporation, a Delaware corporation, having its principal place of business at 808 Beaverton Court, Sunnyvale, CA 97087 ("Assignee"), is desirous of acquiring the entire and exclusive right, title and interest in and to the said inventions in the United States and throughout the world, and in and to any Letters Patent which may be granted and issued therefor;

NOW, THEREFORE, in consideration of the sum of One Dollar (\$1.00) to Assignor in hand paid, the foregoing recitals, the mutual covenants and agreements contained in the Asset Purchase Agreement, dated as of June 30, 2003 (the "Agreement"), by and between Assignor and Assignee and other good and valuable consideration described herein, the receipt and sufficiency of which is hereby acknowledged, the parties hereto hereby agree as follows:

Assignment. Assignor hereby assigns, transfers and conveys to Assignee all of Assignor's right, title, and interest throughout the world in and to said Patents and Patent Applications, the underlying inventions described therein, and any and all Letters Patent whether U.S. or foreign that are or may be granted therefrom including without limitation any extensions, continuations, continuations-in-part, divisions, reissues and renewals thereof, or other equivalents thereof (collectively, the "Assigned Patents and Patent Applications"), and further, all rights and

privileges pertaining to the Assigned Patents and Patent Applications including without limitation the right, if any, to sue or bring other actions for past, present and future infringement thereof.

Further Assignment. Assignor further assigns to and empowers Assignee, its successors, assigns or nominees, all rights to make applications for patents or other forms of protection for said inventions and to prosecute such applications and said Patent Applications, as well as to claim and receive the benefit of the right of priority provided by the International Convention for the Protection of Industrial Property, as amended, or by any convention which may henceforth be substituted for it, and the right to invoke and claim such right of priority without further written or oral authorization.

Authorization of Patent and Trademark Office to Record. Assignor further agrees that this assignment shall be deemed a full legal and formal equivalent of any assignment, consent to file or like document which may be required in any country for any purpose regarding the subject matter hereof, as well as constituting proof of the right of Assignee or its successors, assigns or nominees to apply for patent or other proper protection for said inventions, and to claim the aforesaid benefits of the right of priority provided by the International Convention for the Protection of Industrial Property, as amended, or by any convention which may henceforth be substituted for it.

Further Assurances. Assignor agrees to take such further action and to execute such documents as Assignee may reasonably request to effect or confirm the conveyance to Assignee of the Patents and Patent Applications and any improvements thereunder.

IN WITNESS WHEREOF, the parties hereto have executed this Agreement this
30th day of June, 2003.

Reactive Network Solutions, Inc.

By: 

Name: Bill Sickler

Title: CEO & PRESIDENT

J9 Corporation

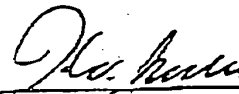
By: _____

Name: _____

Title: _____

Assignor hereby releases and forever quitclaims to Assignee all of its right, title
and interest in and to the Assigned Patents and Patent Applications.

Reactive Network Solutions, Inc.

By: 

Name: Bill Sickler

Title: CEO & PRESIDENT

IN WITNESS WHEREOF, the parties hereto have executed this Agreement this
30th day of June, 2003.

Reactive Network Solutions, Inc.

By: _____
Name:
Title:

J9 Corporation

By: R. Jagannathan
Name: RAMESHWAMY JAGANNATHAN
Title:

Assignor hereby releases and forever quitsclaims to Assignee all of its right, title
and interest in and to the Assigned Patents and Patent Applications.

Reactive Network Solutions, Inc.

By: _____
Name:
Title:

ENDOR CODE: 31050 COMMISSIONER FOR PATENTS
CHECK DATE: 10/08/2003
Operating

CHECK NUMBER: 31802
CHECK REQUEST: 350042
CHECK AMOUNT: \$120.00

Heller Ehrman
ATTORNEYS

Heller Ehrman White & McAuliffe LLP
4350 La Jolla Village Drive, 7th Floor
San Diego, CA 92122-1246

BANK OF AMERICA
San Francisco Regional
Commercial Banking Office #1499
345 Montgomery Street
San Francisco, CA 94104

31802

031802

Check Date: 10/08/2003

PAY ONLY: *****\$120.00

PAY ONE HUNDRED TWENTY DOLLARS AND 00 CENTS

COUNTER SIGNATURE REQUIRED FOR AMOUNTS OVER \$2,500.00

TO
THE
ORDER
OF

COMMISSIONER FOR PATENTS
P.O. BOX 1450
ALEXANDRIA, VA 22313-1450

Phyllis Gordon

AUTHORIZED SIGNATURE

⑈031802⑈ ⑆121000358⑆ 14990⑈05472⑈

THE STAMP OF THE U.S. PATENT AND
TRADEMARK OFFICE AFFIXED HERETO WILL BE
EVIDENCE OF RECEIPT OF THE FOLLOWING (VIA
FIRST CLASS MAIL) ON 10/8/03.

Client #: 40587-6271 (DAH:msm)

Enclosures: Transmittal Letter, 1 page original and in
duplicate; Recordation Form Cover Sheet, 2 pages;
Certificate of Incorporation, 2 pages; Check in the amount
of \$120.00; This Postcard.

Application Nos.: 09/789,477, 09/805,490, 60/318,670,
and 10/242,380

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re

Patent Application Nos.

09/789,477

09/805,490

10/242,380

CERTIFICATE OF MAILING

I hereby certify that this paper and the attached papers are being deposited with the United States Postal Service with sufficient postage as first class mail on the date indicated below and addressed to:

Commissioner for Patents
PO Box 1450
Alexandria, VA 22313-1450

10/8/03
Date

Michelle Melendy
Signature

TRANSMITTAL LETTER

Commissioner for Patents
US Patent and Trademark Office
PO Box 1450
Alexandria, VA 22313-1450

Sir:


Enclosed are the following documents:

- 1) this Transmittal Letter;
- 2) Recordation Form Cover Sheet;
- 3) Certification of Incorporation (two pages);
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- 5) Return Postcard for this transmittal.

☒

The Commissioner is hereby authorized to charge any fee, including any submitted herewith if the attached check(s) is in the wrong amount or otherwise improper or missing, that may be due in connection with this and the attached papers, or with this application during its entire pendency to or to credit any overpayment to Deposit Account No. 50-1213. A duplicate of this sheet is enclosed.

Respectfully submitted,



David A. Hall
Reg. No. 32,233

Heller Ehrman White & McAuliffe LLP
4350 La Jolla Village Drive, 7th Floor
San Diego, California 92122-1246
Telephone: (858) 450-8400
Facsimile: (858) 587-5360

RECORDATION FORM COVER SHEET PATENTS ONLY

To the Honorable Commissioner for Patents and Trademarks
Please record the attached original document(s) or copy(ies) thereof

Attorney Docket No. **40587-6271**

1. Name of the conveying parties

J9 Corporation

Additional name(s) of conveying party(ies) attached? **No**

3. Nature of conveyance:

☐ Assignment ☐ Merger

☐ Security Agreement ☒ **Change of Name**

Execution Dates: **August 7, 2003**

2. Name and address of the receiving party(ies):

netZentry, Inc.
990 Commercial Street, Suite 100
Palo Alto, California 94303

Additional Names(s) and Address(es) Attached
☐ Yes ☒ **No**

4. Application Number(s) or Patent Number(s)

If this document is being filed together with a new application, the execution date of the application is:

A. U.S. Patent Applications

B. Patent No(s).

(1) Patent application no. 09/789,477 filed 2/20/2001
entitled **"METHOD AND APPARATUS FOR LIMITING
NETWORK CONNECTION RESOURCES"** identified by
Express Mail mailing label no. **EL473992722US**; prior
Assignment Recordation Date: 9/6/2001, Reel/Frame:
012139/0081

Additional Numbers Attached? ☐ Yes ☒ **No**

Additional Numbers Attached? ☒ **Yes** ☐ **No**

**5. Name and address of party to whom
correspondence should be mailed:**

David A. Hall, Esq.
HELLER EHRMAN WHITE & McAULIFFE LLP
4350 La Jolla Village Drive, 7th Floor
San Diego, CA 92122-1246

Telephone direct: (858) 450-8400
Facsimile: (858) 587-5360

6. Total number of Applications and Patents involved **3**

7. Total Fee (37 CFR §3.41) **\$ 160.00**
☒ **Check Enclosed** ☐ **Previously Paid**
☐ **Authorized to charge to Deposit Acct #50-1213**

**8. ☒ Please Charge Deposit Account No. 50-1213 for any
deficiency in the fees paid above, or credit any
overpayment to that account.**

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

David A. Hall

Reg. No. 32,233

Name of Person Signing

Signature

Date

Total number of pages including cover sheet, attachments, and document: **6**

Mail documents to be recorded with required cover sheet information to:

**Commissioner for Patents
Mail Stop Assignment Recordation Services
U.S. Patent and Trademark Office
P.O. Box 1450
Alexandria, VA 22313**

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RECORDATION FORM COVER SHEET
PATENTS ONLY

To the Honorable Commissioner for Patents and Trademarks
Please record the attached original document(s) or copy(ies) thereof

Attorney Docket No. 40587-6271

4. Application Number(s) or Patent Number(s) (Cont'd)

A. U.S. Patent Applications

(2) U.S. Patent application no. 09/805,490 filed
3/13/2001 entitled "**METHOD OF MAINTAINING LISTS
OF NETWORK CHARACTERISTICS**" identified by
Express Mail mailing label no. **EL682476387US**; prior
Assignment Recordation Date: 8/27/2001, Reel/Frame:
012107/0875

(3) U.S. Patent application no. 10/242,380 filed
9/10/2002 entitled "**DYNAMIC DoS FLOODING
PROTECTION**" identified by Express Mail mailing label
no. **EV175669370US**; prior Assignment Recordation
Date: 11/15/2002, Reel/Frame: 013498/0083

Delaware

PAGE 1

The First State

I, HARRIET SMITH WINDSOR, SECRETARY OF STATE OF THE STATE OF DELAWARE, DO HEREBY CERTIFY THE ATTACHED IS A TRUE AND CORRECT COPY OF THE CERTIFICATE OF AMENDMENT OF "J9 CORPORATION", CHANGING ITS NAME FROM "J9 CORPORATION" TO "NETZENTRY, INC.", FILED IN THIS OFFICE ON THE SIXTH DAY OF AUGUST, A.D. 2003, AT 2:42 O'CLOCK P.M.

A FILED COPY OF THIS CERTIFICATE HAS BEEN FORWARDED TO THE KENT COUNTY RECORDER OF DEEDS.



3674129 8100

030513555

Harriet Smith Windsor

Harriet Smith Windsor, Secretary of State

AUTHENTICATION: 2571401

DATE: 08-07-03

CERTIFICATE OF AMENDMENT
OF THE
CERTIFICATE OF INCORPORATION OF
J9 CORPORATION

J9 Corporation, a corporation organized and existing under and by virtue of the General Corporation Law of the State of Delaware (the "Corporation").

DOES HEREBY CERTIFY:

FIRST: The name of the Corporation is J9 Corporation.

SECOND: The date on which the Certificate of Incorporation of the Corporation was originally filed with the Secretary of State of the State of Delaware is June 24, 2003, under the name of J9 Corporation.

THIRD: That the Sole Member of the Board of Directors of the Corporation duly adopted a resolution setting forth a proposed amendment to the Certificate of Incorporation, declaring said amendment to be advisable and in the best interests of the Corporation:

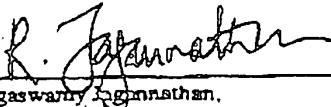
"RESOLVED, that Article I of the Certificate of Incorporation of the Corporation be amended to read in its entirety as follows:

ARTICLE I

The name of this corporation is netZentry, Inc."

FOURTH: That thereafter said amendment was duly adopted in accordance with the provisions of Section 242 of the General Corporation Law by written consent of the stockholders holding the requisite number of shares required by statute given in accordance with and pursuant to Section 228 of the General Corporation Law of the State of Delaware with written notice to be given to those stockholders who did not consent as provided in that section.

IN WITNESS WHEREOF, this Corporation has caused this Certificate of Amendment of the Certificate of Incorporation to be signed by its President this 30th day of July, 2003.



Rangaswamy Raghunathan,
President:

NDOR CODE: 31050 COMMISSIONER FOR PATENTS
CHECK DATE: 10/08/2003
Operating

CHECK NUMBER: 31803
CHECK REQUEST: 350044
CHECK AMOUNT: \$120.00

Heller Ehrman
ATTORNEYS

Heller Ehrman White & McAuliffe LLP
4350 La Jolla Village Drive, 7th Floor
San Diego, CA 92122-1246

BANK OF AMERICA
San Francisco Regional
Commercial Banking Office #1499
345 Montgomery Street
San Francisco, CA 94104

31803

031803

Check Date: 10/08/2003

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PAY ONE HUNDRED TWENTY DOLLARS AND 00 CENTS

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COMMISSIONER FOR PATENTS
P.O. BOX 1450
ALEXANDRIA, VA 22313-1450

Phyllis Gordon

AUTHORIZED SIGNATURE

RECEIVED

DEC 08 2003

Technology Center 2600

⑈031803⑈ ⑆121000358⑆14990⑈05472⑈

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Assignment, 4 pages; Check in the amount of \$120.00;
This Postcard.

Application Nos.: 09/789,477, 09/805,490, 10/242,380

PLEASE STAMP HERE. THANK YOU.

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PATENTS ONLY

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Please record the attached original document(s) or copy(ies) thereof

Attorney Docket No. 40587-6271

4. Application Number(s) or Patent Number(s) (Cont'd)

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3/13/2001 entitled "METHOD OF MAINTAINING LISTS
OF NETWORK CHARACTERISTICS" identified by
Express Mail mailing label no. EL682476387US; prior
Assignment Recordation Date: 8/27/2001, Reel/Frame:
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(3) U.S. Patent application no. 10/242,380 filed
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no. EV175669370US; prior Assignment Recordation
Date: 11/15/2002, Reel/Frame: 013498/0083

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